



SECTOR 8

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09 / 697,728
		Filing Date	October 26, 2000
		First Named Inventor	Karl C. Huff et al.
		Group Art Unit	3753
		Examiner Name	T.B.D.
Total Number of Pages in This Submission	18	Attorney Docket Number	52421.004C

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
 - After Final
 - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition To Accord Corrected Filing Date
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

1. Petition to Correct Filing Date & 2nd Preliminary Amendment
2. Copy of Notice of Missing Parts.
3. \$690 Fee in Check # 11,453.
4. Return Receipt Postcard.

Remarks

PLEASE DATE STAMP THE ENCLOSED, PREADDRESSED, POSTAGE PREPAID POSTCARD & RETURN BY U.S. POSTAL SERVICE TO THE UNDERSIGNED ATTORNEY.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Sean M. Casey Co., L.P.A. by Sean M. Casey
Signature	
Date	March 21, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	Sean M. Casey
Signature	
Date	March 21, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**OFFICE TRANSMITTAL
for FY 2001**

MAR 23 2001

Fees are subject to annual revision.

AMOUNT OF PAYMENT

(\$)

690

Complete if Known

Application Number	09 / 697,728
Filing Date	October 26, 2000
First Named Inventor	Karl C. Huff et al.
Examiner Name	T.B.D.
Group Art Unit	3753
Attorney Docket No.	52421.004C

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-1526
Deposit Account Name	Sean M. Casey Co., L.P.A.

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 50	214 75	Provisional filing fee	
SUBTOTAL(1) (\$)			355

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
35	-20- = 15	x 9	= 135
5	3- = 2	x 40	= 80
Multiple Dependent		0	= 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)		

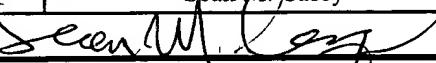
**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	55
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 120**SUBMITTED BY**

Name (Print/Type)	Sean M. Casey	Registration No. (Attorney/Agent)	39,514	Telephone	(614) 222-0800
Signature				Date	March 21, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Time In 1829	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee <input type="checkbox"/>
Weight 10 lbs. 0.00 ozs.	Int'l Alpha Country Code <input type="checkbox"/>	COD Fee <input type="checkbox"/> Insurance Fee <input type="checkbox"/>
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials E	Total Postage & Fees \$ 15.75

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FROM: (PLEASE PRINT) **SEAN M CASEY, LPA, INC**

PHONE **(614) 231-1054**

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**WATR-52421.005 US PAT APP
(DIV. 004)**

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**ASST. COMMISSIONER FOR PATENTS
BOX: PATENT APPLICATION
WASHINGTON, DC 20231**

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COLUMBUS, Ohio
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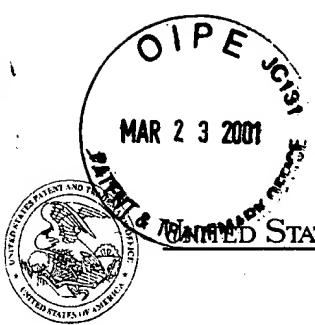
Product Description	Sales Qty	Unit Price	Final Price
WASHINGTON DC 20231			\$15.75
Express Mail PO ADD			
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Nextday Noon /Normal			
Delivery			
Customer Postage			-\$15.75
Total:			\$0.00

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Clerk: 25

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/697,728	10/27/2000	Karl C. Huff et al	WATR-52421.005

FORMALITIES LETTER

Sean M Casey
Sean M Casey Co LPA
Post Office box 710
New Albany, OH 43054-0710



OC000000005637696

Date Mailed: 12/26/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$340.
 - \$180 for 10 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is \$ 1180.

04/20/2001 TLUU11 0000005 09697728 + 110 1-MONTH EXT. TIME
01 FC:203 90.00 op 12.90 + 2 (SMALL ENTITY) = 645²⁸

0000005 09697728
03/26/2001 EARUBAK1
01 FC:201
02 FC:202
03 FC:203
04 FC:205

03/26/2001 EARUBAK1
01 FC:201
02 FC:202
03 FC:203
04 FC:205
03/26/2001 EARUBAK1
01 FC:201
02 FC:202
03 FC:203
04 FC:205

A copy of this notice MUST be returned with the reply.

Repn. Ref: 04/20/2001 TLUU11 0011115500
DAB:504526 Name/Number:09697728
FC: 704 \$45.00 CR
[Signature]

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE